

Sanctioned Securities Monitoring Service (SSMS)

Worldline Europe

The reference

in Financial Data Services

The Company

In 2006, CETREL Securities S.A. has been created as a **regulated company** supervised by the CSSF and dedicated to financial data services and regulatory reporting. In July 2023, CETREL Securities merged into Worldline Europe S.A.

SSMS Overview

Since 2014 and in close partnership with SIX Financial Information (SIX FI), Worldline Europe has developed and operates the Sanctioned Securities Monitoring Service (SSMS), while SIX FI markets and sells the service.

SSMS is mainly based on SIX FI's data and serves clients all over the globe, while being considered one of the world leading services on international sanctions.

SSMS covers eleven regulators (US, AU, CA, CH, EU, HK, JP, NL, SG, UK, UN) having imposed sanctions, and provides securities watchlists for Cluster Munitions and Marijuana Related Businesses (MRB).

Service Description: The SIX solution

SSMS provides, on a bi-daily basis, a list of issuers and securities that are linked to the domiciles, companies and individuals sanctioned by eleven Sanctions Regimes.

Sectoral sanctions on Russia / Belarus securities are reported within one database and SSMS also provides easy access to securities linked to entities or persons at high risk.

Automated processes match regulators' sanction & restriction lists against domicile, entity and beneficial ownership data to compile the list of in-scope and 'at-risk' securities, including ETF's constituents 'at-risk'.

SSMS marries beneficial ownership data with the SIX FI award-winning corporate actions data, which are critical to monitoring securities' sanctions status.

Key areas include:

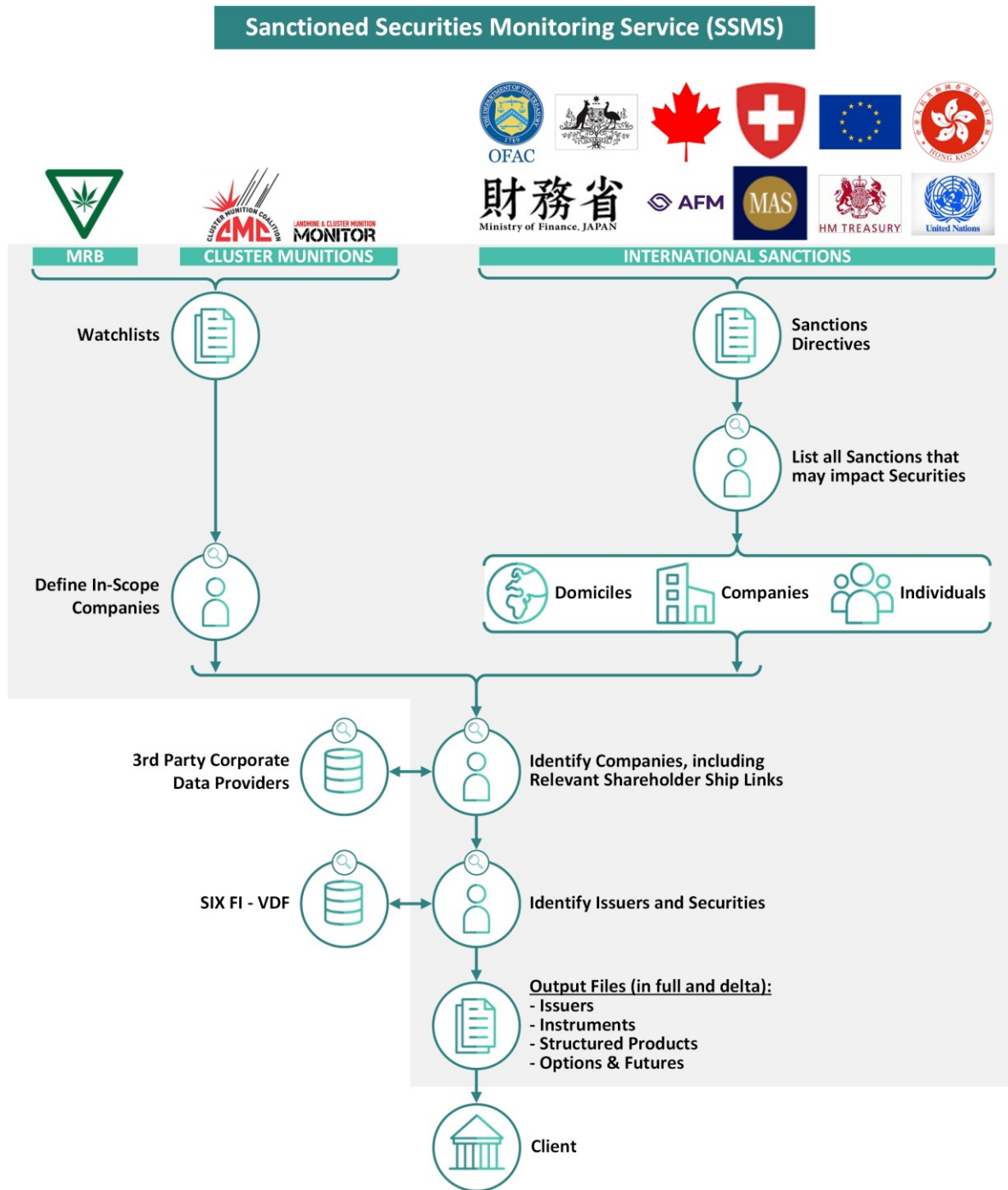
- Ordinary and Extraordinary General Meetings
- Capital Changes
- Securities Assimilation
- Issued Capital Information

The SSMS delivery consists in full files (exhaustive status snapshot at given run date and time) and delta files (highlighting changes from previous run deliveries), delivered twice a day, Monday through Saturday, in CSV - XLSX - XML formats and via eMail or SFTP channels.

For more information on SSMS visit:

www.six-group.com/sanctions

Ban
Watchlists **Injunction**
Penalty **Cluster Munitions**
Boycott **Sanctions** **Frozen Assets**
Marijuana Related Business
Embargo



Key Benefits

- Extensive coverage of national, international and sectoral sanctions lists and watchlists
- Daily updates provide most current status of a security
- Straightforward list of affected securities simplifies processing and supports efficient security master update
- Frees internal resources to tackle other compliance priorities
- Reduced Regulatory Risk
- Reduced Total Cost of Ownership
- Reduced Volatility in Process and Technology
- Reduced Market Data spending
- Minimal Project Risk, Costs and Duration
- Increased Straight Through Processing (STP)
- Reduced Operational Risk through increased accuracy for trading and compliance