

## SOFIE Sort - ATLAS: Reporting covered

**Informations :** In order to determine the exact type(s) of report(s) your company has to send to the regulations authorities, we advise to contact them first.

Based on their answers you'll be able to determine which package you need to apply for your SOFiE Sort contract. A package, also called a module, is a right permission for a family of reports granted as a yearly licence for 1 entity. SOFiE Sort packages were organised and compiled in order to respond exactly to the various regulators demands and circulars in regard to the Legal Reporting at Luxembourg.

Packages can be added or removed on demand Licences costs are divided as follows : 1 CRYPT licence by entities from 1 to X TRANSPORT licences by type of package.

Contact : [reporting@cetrel-securities.lu](mailto:reporting@cetrel-securities.lu)

### Insurance corporations

Report	Full name	Related information	Circular	Package / Procedure
S 2.18 L	Quarterly statistical balance for insurance corporations	<a href="#">BCL</a>	<a href="#">2015/239</a>	INST
S 2.19 L	Information on valuation effect on the balance sheet of insurance corporations	<a href="#">BCL</a>	<a href="#">2015/239</a>	INST
S 4.3 L	Annual premiums, claims and commissions of insurance corporations	<a href="#">BCL</a>	<a href="#">2015/239</a>	INST
TPTASS	Security by security reporting of insurance corporations	<a href="#">BCL</a>	<a href="#">2015/239</a>	INST
CPR	Progress report	<a href="#">CAA</a>	<a href="#">15/10</a>	CAAT
RAC	Actuarial report	<a href="#">CAA</a>	<a href="#">15/10</a>	CAAT
RDI	Auditor's report	<a href="#">CAA</a>	<a href="#">15/10</a>	CAAT
RGO	Governance report	<a href="#">CAA</a>	<a href="#">15/10</a>	CAAT
RLB	LBCFT report	<a href="#">CAA</a>	<a href="#">15/10</a>	CAAT
RRE	Reports on complaints handling	<a href="#">CAA</a>	<a href="#">15/10</a>	CAAT
ESP	Specific inquiries	<a href="#">CAA</a>	<a href="#">15/10</a>	CAAT
SII	Solvency II	<a href="#">CAA</a>	<a href="#">15/10</a>	CAAT
ETR	Quarterly statement	<a href="#">CAA</a>	<a href="#">15/10</a>	CAAT

### Investment funds

Report	Full name	Related information	Circular	Package / Procedure
SOFIE SORT				
Fund Package	General set-up [once per technical sender]			TRAM
ATLAS	Used for reporting via procedures [once per technical sender]			N/A
Initial Notification	Management Regulations or Articles of incorporation	<a href="#">CSSF</a>	<a href="#">11/509</a>	NOTI
	Full prospectus	<a href="#">CSSF</a>	<a href="#">11/509</a>	NOTI
	KIID	<a href="#">CSSF</a>	<a href="#">11/509</a>	NOTI
	Annual report or semi-annual report (latest)	<a href="#">CSSF</a>	<a href="#">11/509</a>	NOTI
	Marketing Arrangements (optional)	<a href="#">CSSF</a>	<a href="#">11/509</a>	NOTI
	Confirmation of payment (optional)	<a href="#">CSSF</a>	<a href="#">11/509</a>	NOTI
	Notification Letter	<a href="#">CSSF</a>	<a href="#">11/509</a>	NOTI
	CSSF attestation letter	<a href="#">CSSF</a>	<a href="#">11/509</a>	NOTI
	Demande d'attestation	<a href="#">Article 54(4) of the Fund Law</a>		NOTI
		<a href="#">CSSF</a>	<a href="#">14/581</a>	AIFT
AIFM	AIFM reporting file	<a href="#">CSSF</a>	<a href="#">14/581</a>	AIFT
AIF	AIF reporting file	<a href="#">BCL</a>	<a href="#">2014/237</a>	OPCT/5019
S 1.3 & S2.13	Statistical balance sheet for investment funds	<a href="#">BCL</a>	<a href="#">2014/237</a>	OPCT/5019
S 1.6	Information on valuation effects on the balance sheet for non monetary investment funds	<a href="#">BCL</a>	<a href="#">2014/237</a>	OPCT/5019
TPTOBS	Security by security reporting for investment funds	<a href="#">BCL</a>	<a href="#">2014/237</a>	FINT/5019
O 1.1		<a href="#">CSSF</a>	<a href="#">97/136</a>	FINT
O 1.2	Monthly financial information for guaranteed funds	<a href="#">CSSF</a>	<a href="#">15/627</a>	FDPS/50000
U 1.1	Monthly financial information	<a href="#">CSSF</a>	<a href="#">97/136</a>	5019
O 4.1	Yearly financial information	<a href="#">CSSF</a>	<a href="#">97/136</a>	5019
O 4.2	Interventions on the futures and options markets	<a href="#">CSSF</a>	<a href="#">09/423</a>	10031
LF	Long form report	<a href="#">CSSF</a>	<a href="#">09/423</a>	5012
ML	Management Letter	<a href="#">CSSF</a>	<a href="#">08/371</a>	10031/5012
AR	Other review report	<a href="#">CSSF</a>	<a href="#">08/371</a>	10032/10030
PC	Full prospectus	<a href="#">CSSF</a>	<a href="#">08/371</a>	10032/10030
DE	Offering Document	<a href="#">CSSF</a>	<a href="#">08/371</a>	10033/5004
RA	Annual report	<a href="#">CSSF</a>	<a href="#">08/371</a>	10033/5004
RS	Semi-Annual report	<a href="#">CSSF</a>	<a href="#">08/371</a>	5001
	Agrément d'un nouvel OPC	<a href="#">CSSF</a>		5072
	Demande de remise d'attestations	<a href="#">CSSF</a>		5002
	Modification d'un OPC existant	<a href="#">CSSF</a>		5003
	Modification d'un ensemble d'OPC existants	<a href="#">CSSF</a>		10035
	Reporting électronique SIAG	<a href="#">CSSF</a>		5071
	Remise de règlements de gestion / statuts	<a href="#">CSSF</a>		5011
	Information diverse d'ordre général	<a href="#">CSSF</a>		5070
KI	KIID	<a href="#">CSSF</a>	<a href="#">08/371</a>	1366
MMF37	MMF Report	<a href="#">CSSF</a>	<a href="#">20/734</a>	5555
	Lettre d'explication	<a href="#">CSSF</a>	<a href="#">19/708</a>	5555
	Managment letters	<a href="#">CSSF</a>	<a href="#">19/708</a>	5555
	Réponse à la CSSF	<a href="#">CSSF</a>	<a href="#">19/708</a>	5555

GR	Fonction gestion risques	<a href="#">CSSF</a>	<a href="#">19/708</a>	5556
ID	Informations instruments OPCVM	<a href="#">CSSF</a>	<a href="#">19/708</a>	5556
FC	Fonction compliance	<a href="#">CSSF</a>	<a href="#">19/708</a>	5556
IA	Rapport sur la fonction d'audit interne	<a href="#">CSSF</a>	<a href="#">19/708</a>	5556
BC	Obligation LBC/FT	<a href="#">CSSF</a>	<a href="#">19/708</a>	5556
TP	Traitement des plaintes	<a href="#">CSSF</a>	<a href="#">19/708</a>	5556
LP	Tiers autorisés plaintes	<a href="#">CSSF</a>	<a href="#">19/708</a>	5556
PG	Procédure gestion risques	<a href="#">CSSF</a>	<a href="#">19/708</a>	5556
OG	Organigramme	<a href="#">CSSF</a>	<a href="#">19/708</a>	5556
TM	Tableau mandats	<a href="#">CSSF</a>	<a href="#">19/708</a>	5556
RD	Déléataires du GFI	<a href="#">CSSF</a>	<a href="#">19/708</a>	5556
AS	Activité succursale	<a href="#">CSSF</a>	<a href="#">19/708</a>	5556
FP	Ratio fonds propres	<a href="#">CSSF</a>	<a href="#">19/708</a>	5556
	Réponse à la CSSF	<a href="#">CSSF</a>	<a href="#">19/708</a>	5556
PN	Procédure gestion risques AIFM	<a href="#">CSSF</a>	<a href="#">19/708</a>	5556
	Board of Directors' minutes	<a href="#">CSSF</a>	<a href="#">19/708</a>	5556
	Conducting officers' minutes in which AML/CFT topic was discussed	<a href="#">CSSF</a>	<a href="#">19/708</a>	5556
	The proof(s) that all employees attended an AML/CFT training	<a href="#">CSSF</a>	<a href="#">19/708</a>	5556
	Lettre d'explication	<a href="#">CSSF</a>	<a href="#">19/708</a>	5007
	Rapport divers	<a href="#">CSSF</a>	<a href="#">19/708</a>	5007
	Rapport du réviseur	<a href="#">CSSF</a>	<a href="#">19/708</a>	5007
	Rapport société de gestion annuel	<a href="#">CSSF</a>	<a href="#">19/708</a>	5007
	Rapport société de gestion autre périodicité	<a href="#">CSSF</a>	<a href="#">19/708</a>	5007
	Rapport société de gestion semestriel	<a href="#">CSSF</a>	<a href="#">19/708</a>	5007
	Réponse à la CSSF	<a href="#">CSSF</a>	<a href="#">19/708</a>	5007
SICREP	Table K 3.1	<a href="#">CSSF</a>	<a href="#">08/376</a>	SICT
SGOREP	Quarterly reporting / annual information [financial situation (table SG 1A), profit and loss account (table SG 1B), table regarding the management of UCIs (table SG 1C), table regarding other activities (table SG 2) and quarterly staff figures (table SG Personnel)]	<a href="#">CSSF</a>	<a href="#">15/633</a>	SGOT

## Financial companies

Report	Full name	Related information	Circular	Package / Procedure
S 2.16	Quarterly statistical balance sheet of financial companies	<a href="#">BCL</a>	<a href="#">2014/17</a>	ISRT/IBST
S 2.17	Transactions of financial companies	<a href="#">BCL</a>	<a href="#">2014/17</a>	ISRT/IBST
TPTIBS	Monthly security by security reporting of financial companies	<a href="#">BCL</a>	<a href="#">2014/17</a>	ISRT/IBST

## Credit institutions

Report	Full name	Related information	Circular	Package / Procedure
S 0.1	Daily deposit report	<a href="#">BCL</a>	<a href="#">2014/235</a>	RPQT
S 1.1	Monthly statistical balance sheet	<a href="#">BCL</a>	<a href="#">2014/235</a>	FINT
S 1.12	Indirect withdrawal and remittance of banknotes denominated in EUR through an intermediary	<a href="#">BCL</a>	<a href="#">2014/235</a>	FINT
S 1.12 L	Indirect withdrawal and remittance of banknotes denominated in EUR through an intermediary	<a href="#">BCL</a>	<a href="#">2014/235</a>	FINT
S 1.4	Adjustments due to valuation effects	<a href="#">BCL</a>	<a href="#">2014/235</a>	FINT
S 1.5	Information on interest rates in EUR	<a href="#">BCL</a>	<a href="#">2014/235</a>	FINT
S 1.8	Information on securitisation transactions launched by credit institutions	<a href="#">BCL</a>	<a href="#">2014/235</a>	FINT
S 1.9	Information on CNY (renmibi) operations	<a href="#">BCL</a>	<a href="#">2014/235</a>	FINT
S 2.5	Quarterly statistical balance sheet	<a href="#">BCL</a>	<a href="#">2014/235</a>	FINT
S 2.8	Real estate loans for Luxembourg buildings	<a href="#">BCL</a>	<a href="#">2014/235</a>	FINT
S 2.9	Staff	<a href="#">BCL</a>	<a href="#">2014/235</a>	FINT
S 3.2	Non balance sheet information	<a href="#">BCL</a>	<a href="#">2014/235</a>	FINT
S 4.1	Non balance sheet information	<a href="#">BCL</a>	<a href="#">2014/235</a>	FINT
TPTBBL	Balance sheet data – Luxembourg entity	<a href="#">BCL</a>	<a href="#">2014/235</a>	TPTT
TPTBBN	Balance sheet data – Luxembourg entity and its branches	<a href="#">BCL</a>	<a href="#">2014/235</a>	TPTT
TPTBHR	Off-balance sheet data – resident customers	<a href="#">BCL</a>	<a href="#">2014/235</a>	TPTT
TPTBHN	Off-balance sheet data – non resident customers	<a href="#">BCL</a>	<a href="#">2014/235</a>	TPTT
BOP	Cross-border payments executed for the account of the resident counterparts	<a href="#">BCL</a>	<a href="#">2007/216</a>	FINT
V101	Customer transfers	<a href="#">BCL</a>	<a href="#">2011/9</a>	VIRT
V102	Interbank transfers	<a href="#">BCL</a>	<a href="#">2011/9</a>	VIRT
V103	Domiciliations	<a href="#">BCL</a>	<a href="#">2011/9</a>	VIRT
V104	Inventory cards / terminals	<a href="#">BCL</a>	<a href="#">2011/9</a>	VIRT
V105	Card transactions (Issuing part)	<a href="#">BCL</a>	<a href="#">2011/9</a>	VIRT
V106	Card transactions (Acquiring part)	<a href="#">BCL</a>	<a href="#">2011/9</a>	VIRT
V107	Cheques and money orders	<a href="#">BCL</a>	<a href="#">2011/9</a>	VIRT
V108	Electronic money scheme	<a href="#">BCL</a>	<a href="#">2011/9</a>	VIRT
V109	Card based e-money schemes	<a href="#">BCL</a>	<a href="#">2011/9</a>	VIRT
V110	Stock taking of payment accounts in bank money	<a href="#">BCL</a>	<a href="#">2011/9</a>	VIRT
V111	OTC cash transactions	<a href="#">BCL</a>	<a href="#">2011/9</a>	VIRT
V112	Transactions via telecommunication, digital or IT device	<a href="#">BCL</a>	<a href="#">2011/9</a>	VIRT
V113	Credits to the accounts by simple book entry	<a href="#">BCL</a>	<a href="#">2011/9</a>	VIRT
V114	Debits from the account by simple book entry	<a href="#">BCL</a>	<a href="#">2011/9</a>	VIRT
V115	Payment services activity provided by E-money institutions and Payment institutions	<a href="#">BCL</a>	<a href="#">2011/9</a>	VIRT

V0120	Customer credit transfers sent (payments)	<a href="#">BCL</a>	<a href="#">CDDP6</a>	VIRT
V0120F	Customer credit transfers sent (fraud)	<a href="#">BCL</a>	<a href="#">CDDP6</a>	VIRT
V0121	Customer credit transfers received	<a href="#">BCL</a>	<a href="#">CDDP6</a>	VIRT
V0130	Direct debits - reporting as creditor's PSP (payments)	<a href="#">BCL</a>	<a href="#">CDDP6</a>	VIRT
V0130F	Direct debits - reporting as creditor's PSP (fraud)	<a href="#">BCL</a>	<a href="#">CDDP6</a>	VIRT
V0131	Direct debits - reporting as debtor's PSP	<a href="#">BCL</a>	<a href="#">CDDP6</a>	VIRT
V0140	SEPA R-transactions	<a href="#">BCL</a>	<a href="#">CDDP6</a>	VIRT
V0141	Interbank payment transactions	<a href="#">BCL</a>	<a href="#">CDDP6</a>	VIRT
V0142	Intermediated payment transactions	<a href="#">BCL</a>	<a href="#">CDDP6</a>	VIRT
V0150	Card transactions with cards issued by resident PSPs (payments)	<a href="#">BCL</a>	<a href="#">CDDP6</a>	VIRT
V0150F	Card transactions with cards issued by resident PSPs (fraud)	<a href="#">BCL</a>	<a href="#">CDDP6</a>	VIRT
V0151	Electronic card transactions with cards issued by resident PSPs, split by Merchant Category Codes (MCC)	<a href="#">BCL</a>	<a href="#">CDDP6</a>	VIRT
V0152	Card transactions acquired by resident PSPs (payments)	<a href="#">BCL</a>	<a href="#">CDDP6</a>	VIRT
V0152F	Card transactions acquired by resident PSPs (fraud)	<a href="#">BCL</a>	<a href="#">CDDP6</a>	VIRT
V0153	Fundings and withdrawals related to prepaid cards	<a href="#">BCL</a>	<a href="#">CDDP6</a>	VIRT
V0160	Cheques and money remittances (payments)	<a href="#">BCL</a>	<a href="#">CDDP6</a>	VIRT
V0160F	Cheques and money remittances sent (fraud)	<a href="#">BCL</a>	<a href="#">CDDP6</a>	VIRT
V0170	Over-the-counter (OTC) cash transactions	<a href="#">BCL</a>	<a href="#">CDDP6</a>	VIRT
V0180	Book entries	<a href="#">BCL</a>	<a href="#">CDDP6</a>	VIRT
V0190	Fundings and withdrawals in e-money (except prepaid cards)	<a href="#">BCL</a>	<a href="#">CDDP6</a>	VIRT
V0191	E-money transfers (payments)	<a href="#">BCL</a>	<a href="#">CDDP6</a>	VIRT
V0191F	E-money transfers sent (fraud)	<a href="#">BCL</a>	<a href="#">CDDP6</a>	VIRT
V01100	Payment services provided by e-money institutions and payment institutions without the provision of payment accounts	<a href="#">BCL</a>	<a href="#">CDDP6</a>	VIRT
V01110	Payment initiation services (payments)	<a href="#">BCL</a>	<a href="#">CDDP6</a>	VIRT
V01110F	Payment initiation services (fraud)	<a href="#">BCL</a>	<a href="#">CDDP6</a>	VIRT
V01200	Stock of issued payment cards	<a href="#">BCL</a>	<a href="#">CDDP6</a>	VIRT
V01201	Stock of distributed payment cards	<a href="#">BCL</a>	<a href="#">CDDP6</a>	VIRT
V01210	Stock of terminals by terminal type	<a href="#">BCL</a>	<a href="#">CDDP6</a>	VIRT
V01220	Stock of accounts (except e-money accounts)	<a href="#">BCL</a>	<a href="#">CDDP6</a>	VIRT
V01221	Stock of e-money accounts	<a href="#">BCL</a>	<a href="#">CDDP6</a>	VIRT
V01222	Stock of accessed accounts - account information services (AIS)	<a href="#">BCL</a>	<a href="#">CDDP6</a>	VIRT
V01230	Number of customers	<a href="#">BCL</a>	<a href="#">CDDP6</a>	VIRT
V01300F	Losses due to fraud per liability bearer	<a href="#">BCL</a>	<a href="#">CDDP6</a>	VIRT
ANACREDIT	ANACREDIT report BCL	<a href="#">BCL</a>	<a href="#">2017/240</a>	ANCT
SHSGRP	For credit data surveys	<a href="#">BCL</a>	<a href="#">2017/240</a>	SHST
B 1.1	FINREP - Balance sheet statement	<a href="#">CSSF</a>	<a href="#">07/279</a>	FINT
B 1.5	Liquidity ratio	<a href="#">CSSF</a>	<a href="#">07/316</a>	FINT
B 1.6	FINREP - Additional information relating to the balance sheet statement	<a href="#">CSSF</a>	<a href="#">07/279</a>	FINT
B 2.1	FINREP - Income statement	<a href="#">CSSF</a>	<a href="#">07/279</a>	FINT
B 2.4	Information on participating interests and subordinated loans	<a href="#">CSSF</a>	<a href="#">07/316</a>	FINT
B 2.5	FINREP - Additional information relating to the income statement	<a href="#">CSSF</a>	<a href="#">07/279</a>	FINT
B 4.4	List of head offices, agencies, branches and representative offices	<a href="#">CSSF</a>	<a href="#">07/316</a>	FINT
COFREP	SCOREP / CCOREP / COREPX / SLEVER / CLEVER / LEVERX / SLAREX / CLAREX / LAREXX / SLCRDA / CLCRDA / LCRDAX / SNSFRX / CNSFRX / NSFRXX / SALMXX / CALMXX / ALMXXX / CFINRP / SFINRP / FINREP / CAEXXX / SAEXXX / AEXXXX / CFPXXX / SFPXXX / FPXXXX / CSBPXX / SSBPXX / CSBPCR / SSBPCR / SBPCR / SBPIF9 / CSBPRM / SSBPRM / SBPRMX / CSBPIM / SSBPIM / SBPIMV / CCOVID / SCOVID / COVIDX / PSDFRP / REMXXX / REMBMX / REMHEX / REMGAP / REMHRC / REMHRI / SEACXX / SFRTBX / CFRTBX / FRTBXX / CGSIIX / GSIIXX / NOTIMP / MRDECI / SMRTLA / CMRTLA / MRTLAX / CLDTXX / SLDTRE / SLDTPO / SLDTOT / RESOLX / CFRXXX / CIRXXX / FMIRXX / LDRXXX / CRESOL / SRESOL / CCFCON / CCFPOE / SCFIND / CCFRES / CCICON / SCIIND / CFMCON / CLDCON / CLDPOE / SLDOTH / SLDPOE / CLDRES	<a href="#">CSSF</a>	<a href="#">680/2014</a>	FINT
SUFREP	SUF –XBRL reportings for subfund-based structured products	<a href="#">CSSF</a>		FINT
CSDR	Reporting CSDR-9	<a href="#">CSSF</a>	<a href="#">19/709</a>	7009
TAFREP	Transaction in financial instruments reporting (TAF) / MiFID (encoding interface available)	<a href="#">CSSF</a>	<a href="#">07/302</a>	TAF7/6000
AC	Rapport du réviseur concernant AML/CTF	<a href="#">CSSF</a>	<a href="#">19/731</a>	DOCR
AD	Annexe 1 de la circulaire CSSF 18/697 (dépositaires de fonds)	<a href="#">CSSF</a>	<a href="#">19/731</a>	DOCR
AI	Article of incorporation	<a href="#">CSSF</a>	<a href="#">11/509</a>	DOCR
AR	Annual report	<a href="#">CSSF</a>	<a href="#">19/731</a>	DOCR
AS	Consolidated Annual report	<a href="#">CSSF</a>	<a href="#">19/731</a>	DOCR
AT	CSSF attestation	<a href="#">CSSF</a>	<a href="#">11/509</a>	DOCR
BD	liste des membres du Conseil d'Administration	<a href="#">CSSF</a>	<a href="#">19/731</a>	DOCR
CO	Compliance Report	<a href="#">CSSF</a>	<a href="#">19/731</a>	DOCR
CP	Confirmation payment	<a href="#">CSSF</a>	<a href="#">11/509</a>	DOCR
GR	Governance Respect	<a href="#">CSSF</a>	<a href="#">19/731</a>	DOCR
IA	Internal Audit Report	<a href="#">CSSF</a>	<a href="#">19/731</a>	DOCR
IC	ICAAP	<a href="#">CSSF</a>	<a href="#">19/731</a>	DOCR
ID	ICAAP consolidé	<a href="#">CSSF</a>	<a href="#">19/731</a>	DOCR
IL	ILAAP	<a href="#">CSSF</a>	<a href="#">19/731</a>	DOCR
IM	Consolidated ILAAP	<a href="#">CSSF</a>	<a href="#">19/731</a>	DOCR
KI	KIID	<a href="#">CSSF</a>	<a href="#">11/509</a>	DOCR
LC	Consolidated Long Form Report	<a href="#">CSSF</a>	<a href="#">19/731</a>	LFML
LD	Subsidiary Long Form Report	<a href="#">CSSF</a>	<a href="#">19/731</a>	LFML
LF	Long Form Report	<a href="#">CSSF</a>	<a href="#">19/731</a>	LFML
LG	Reconciliation LuxGAAP FINREP	<a href="#">CSSF</a>	<a href="#">19/731</a>	DOCR
LN	Letter of notifications	<a href="#">CSSF</a>	<a href="#">11/509</a>	DOCR
MC	Consolidated Management Letter	<a href="#">CSSF</a>	<a href="#">19/731</a>	LFML
MF	Rapport du réviseur concernant le respect des règles de conduite	<a href="#">CSSF</a>	<a href="#">19/731</a>	DOCR
ML	Management Letter	<a href="#">CSSF</a>	<a href="#">19/731</a>	LFML
MM	Annual report Maison-Mère	<a href="#">CSSF</a>	<a href="#">19/731</a>	DOCR

MP	Marketing provisions	<a href="#">CSSF</a>	<a href="#">11/509</a>	DOCR
MR	Management regulation	<a href="#">CSSF</a>	<a href="#">11/509</a>	DOCR
PC	Full prospectus	<a href="#">CSSF</a>	<a href="#">11/509</a>	DOCR
PS	Simplified prospectus	<a href="#">CSSF</a>	<a href="#">11/509</a>	DOCR
RC	Risk Control Report	<a href="#">CSSF</a>	<a href="#">19/731</a>	DOCR
RE	Rapport de synthèse relatif à la résolution extrajudiciaire des réclamations	<a href="#">CSSF</a>	<a href="#">19/731</a>	DOCR
RP	Recovery Plan	<a href="#">CSSF</a>	<a href="#">19/731</a>	DOCR
RR	Répartition des résultats	<a href="#">CSSF</a>	<a href="#">19/731</a>	DOCR
SC	Single Customer View	<a href="#">CSSF</a>	<a href="#">19/731</a>	DOCR
SR	Semi-annual report	<a href="#">CSSF</a>	<a href="#">11/509</a>	DOCR
TR	Tableau Relatif à la résolution extrajudiciaire des réclamations	<a href="#">CSSF</a>	<a href="#">19/731</a>	DOCR

## Securitisation vehicles

Report	Full name	Related information	Circular	Package / Procedure
S 2.14	Quarterly statistical balance sheet of securitisation vehicles	<a href="#">BCL</a>	<a href="#">2014/236</a>	TTRT
S 2.15	Information on transactions made by securitisation vehicles	<a href="#">BCL</a>	<a href="#">2014/236</a>	TTRT
TPTTBS	Security by security reporting of securitisation vehicles	<a href="#">BCL</a>	<a href="#">2014/236</a>	TTRT

## Professionals of the financial sector (PFS)

Report	Full name	Related information	Circular	Package / Procedure
PSFREP	Basic reporting - TAB I BILAN, TAB III.1 HORS BILAN, TAB III.2 PP , TAB 2.9 EFFECTIF	<a href="#">CSSF</a>	<a href="#">05/187</a>	PSFT
PSFREP	Ad hoc reporting - Tables II.1. to II.22.	<a href="#">CSSF</a>	<a href="#">05/187</a>	PSFT
LF	Long Form Report	<a href="#">CSSF</a>	<a href="#">15/624</a>	LFML
ML	Management Letter	<a href="#">CSSF</a>	<a href="#">15/624</a>	LFML

## SICAR

Report	Full name	Related information	Circular	Package / Procedure
SICREP	Table K 3.1	<a href="#">CSSF</a>	<a href="#">08/376</a>	SICT
S 1.3 & S2.13	Statistical balance sheet for investment funds	<a href="#">BCL</a>	<a href="#">2014/237</a>	FINT
S 1.6	Information on valuation effects on the balance sheet for non monetary investment funds	<a href="#">BCL</a>	<a href="#">2014/237</a>	FINT
TPTOBS	Security by security reporting for investment funds	<a href="#">BCL</a>	<a href="#">2014/237</a>	FINT
U 1.1	Monthly financial information	<a href="#">CSSF</a>	<a href="#">15/627</a>	50000

## Management companies (Chapter 15 & 16)

Report	Full name	Related information	Circular	Package / Procedure
SGOREP	Quarterly reporting / annual information [financial situation (table SG 1A), profit and loss account (table SG 1B), table regarding the management of UCIs (table SG 1C), table regarding other activities (table SG 2) and quarterly staff figures (table SG Personnel)]	<a href="#">CSSF</a>	<a href="#">10/467</a>	SGOT

## Payment institutions

Report	Full name	Related information	Circular	Package / Procedure
Z 1.1	Balance sheet	<a href="#">CSSF</a>	<a href="#">11/511</a>	EDPT
Z 1.2	Identification of third-party funds held and incorporated in the balance sheet	<a href="#">CSSF</a>	<a href="#">11/511</a>	EDPT
Z 1.4	Capital adequacy of payment institutions	<a href="#">CSSF</a>	<a href="#">11/511</a>	EDPT
Z 2.1	Profit and loss account	<a href="#">CSSF</a>	<a href="#">11/511</a>	EDPT

## Electronic money institutions

Report	Full name	Related information	Circular	Package / Procedure
W 1.1	Balance sheet	<a href="#">CSSF</a>	<a href="#">11/522</a>	EMET
W 1.2	Identification of third-party funds held and incorporated in the balance sheet	<a href="#">CSSF</a>	<a href="#">11/522</a>	EMET
W 1.3	Statement of authorised reinvestments	<a href="#">CSSF</a>	<a href="#">11/522</a>	EMET
W 1.4	Capital adequacy of electronic money institutions	<a href="#">CSSF</a>	<a href="#">11/522</a>	EMET
W 2.1	Profit and loss account	<a href="#">CSSF</a>	<a href="#">11/522</a>	EMET
W 4.5	Analysis of shareholdings	<a href="#">CSSF</a>	<a href="#">11/522</a>	EMET

## Other reporting - Specific enquiries

Report	Full name	Related information	Circular	Package / Procedure
OTHREP	CSSF - Others - specific inquiries		<a href="#">08/344</a>	ESPT
OTXREP	BCL - Others - specific inquiries		<a href="#">08/344</a>	ESPT

## CSSF Reporting via S3

Report	Full name	Related information	Circular	Package / Procedure
EACREP	Ex-ante Contributions Reporting		<a href="#">22/15</a>	TRS3
CSDR7	CSDR 7 Report		<a href="#">22/792</a>	TRS3
SFDR	SFDR UCI precontractual data collection		<a href="#">SFDR-UCI</a>	TRS3
SCI Real Estate	SCI Real Estate Reporting			TRS3